

X It is believed this is a Patent Office error, and accordingly, no fee is enclosed.
However if a fee should be required, please charge it to Deposit Account No. 03-
3975 of the undersigned attorney's firm.

A copy of this Request is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Date: January 25, 2001

By: 

Steven W. Smyrski
Registration No. 38,312
Attorney for Applicant(s)

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/693,614	10/20/2000		81208-246308

Steven W Smyrski
Pillsbury Madison & Sutro LLP
725 S Figueroa Street #2800
Los Angeles, CA 90017

FORMALITIES LETTER



OC000000005623548

Date Mailed: 12/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 - \$252 for 14 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1092.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE


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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/693,614	10/20/2000	2877	0	81208-246308	7	34	3

Steven W Smyrski
 Pillsbury Madison & Sutro LLP
 725 S Figueroa Street #2800
 Los Angeles, CA 90017

RECEIVED

DEC 22 2000

PILLSBURY MADISON & SUTRO

FILING RECEIPT



OC000000005623547

Date Mailed: 12/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 08/930,378 09/24/1997 PAT 6,100,977
 WHICH IS A 371 OF PCT/EP96/03381 08/01/1996

Foreign Applications

GERMANY 196 02 445.5 01/24/1996

If Required, Foreign Filing License Granted 12/15/2000

Title

monitor
 Copper CMP flatness moitor using grazing incidence interferometry

Preliminary Class

356

Data entry by : WYATT, DEBRA

Team : OIPE

Date: 12/15/2000



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231





Scatm#
PATENT
81208-246308

I hereby certify that this correspondence is being deposited
with the United States Postal Service with sufficient postage
as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington D.C. 20231, on

January 25, 2001

Date of Deposit

Lisa A. Ralph

Name

Lisa A. Ralph

Signature

1/25/01

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dieter Mueller

Serial No: 09/693,614

Filed: October 20, 2000

For: COPPER CMP FLATNESS MONITOR
USING GRAZING INCIDENCE
INTERFEROMETRY

) Art Unit: 2877

) Examiner: unknown

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date
Granted," dated December 15, 2000, response to which is due February 15, 2000,
enclosed are the following:



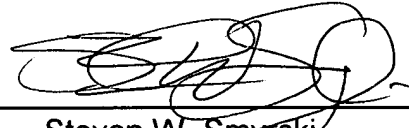
- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ An "Assignment" document executed by the Inventor(s), along with an Assignment Recordation Cover Sheet and a check in the amount of \$40.
- ☒ A check in the amount of \$1092 in payment of the filing fee (including the \$130 surcharge).

The Commissioner is authorized to charge any additional amounts due in connection with this matter to our Deposit Account No. 03-3975. (A copy of this letter is enclosed).

Respectfully submitted,

PILLSBURY WINTHROP LLP

Date: January 25, 2001

By: 
Steven W. Smyrski
Registration No. 38,312
Attorney for Applicant(s)

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